

RECEIVED

NOV 22 2006

File Information Unit

PTO/SB/68 (11-04)

Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## REQUEST FOR ACCESS TO AN ABANDONED APPLICATION UNDER 37 CFR 1.14

Bring completed form to:  
File Information Unit, Room 2E04  
2900 Crystal Drive  
Arlington, VA 22202-3514

Telephone: (703) 308-2733

In re Application of

*Weiss et al.*

Application Number

*08/359945*

Filed

*12.20.94*Paper No. *#37*

I hereby request access under 37 CFR 1.14(a)(1)(iv) to the application file record of the above-identified ABANDONED application, which is not within the file jacket of a pending Continued Prosecution Application (CPA) (37 CFR 1.53(d)) and which is identified in, or to which a benefit is claimed, in the following document (as shown in the attachment):

United States Patent Application Publication No. \_\_\_\_\_, page, \_\_\_\_\_ line \_\_\_\_\_.

United States Patent Number *6294346*, column *1*, line, *1* or

WIPO Pub. No. \_\_\_\_\_, page \_\_\_\_\_, line \_\_\_\_\_.

### Related Information About Access to Applications Maintained in the Image File Wrapper System (IFW) and Access to Pending Applications in General

A member of the public, acting without a power to inspect, cannot order applications maintained in the IFW system through the FIU. If the member of the public is entitled to a copy of the application file, then the file is made available through the Public Patent Application Information Retrieval system (Public PAIR) on the USPTO internet web site ([www.uspto.gov](http://www.uspto.gov)). Terminals that allow access to Public PAIR are available in the Public Search Room. The member of the public may also be entitled to obtain a copy of all or part of the application file upon payment of the appropriate fee. Such copies must be purchased through the Office of Public Records upon payment of the appropriate fee (37 CFR 1.19(b)).

For published applications that are still pending, a member of the public may obtain a copy of:

the file contents; the pending application as originally filed; or any document in the file of the pending application.

For unpublished applications that are still pending:

- (1) If the benefit of the pending application is claimed under 35 U.S.C. 119(e), 120, 121, or 365 in another application that has: (a) issued as a U.S. patent, or (b) published as a statutory invention registration, a U.S. patent application publication, or an international patent application publication in accordance with PCT Article 21(2), a member of the public may obtain a copy of: the file contents; the pending application as originally filed; or any document in the file of the pending application.
- (2) If the application is incorporated by reference or otherwise identified in a U.S. patent, a statutory invention registration, a U.S. patent application publication, or an international patent application publication in accordance with PCT Article 21(2), a member of the public may obtain a copy of the pending application as originally filed.

*Kenneth Staker*  
Signature

*11/22/06*  
Date

*Kenneth Staker*  
Typed or printed name

Registration Number, if applicable

*703 486 1150*

Telephone Number

RECEIVED

NOV 22 2006

File Information Unit

FOR PTO USE ONLY

Approved by: *S*

(initials)

Unit: \_\_\_\_\_

This collection of information is required by 37 CFR 1.11 and 1.14. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. BRING TO: File Information Unit, Room 2E04, 2900 Crystal Drive, Arlington, Virginia.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



US006294346B1

(12) **United States Patent**  
Weiss et al.

(10) Patent No.: **US 6,294,346 B1**  
(45) Date of Patent: **Sep. 25, 2001**

(54) **USE OF MULTIPOTENT NEURAL STEM CELLS AND THEIR PROGENY FOR THE SCREENING OF DRUGS AND OTHER BIOLOGICAL AGENTS**

(75) Inventors: **Samuel Weiss; Brent Reynolds**, both of Calgary (CA); **Joseph P. Hammang; E. Edward Baetge**, both of Barrington, RI (US)

(73) Assignee: **Neurospheres Holdings, Ltd.**, Alberta (CA)

(\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

(21) Appl. No.: **08/484,406**

(22) Filed: **Jun. 7, 1995**

#### Related U.S. Application Data

(63) Continuation-in-part of application No. 08/385,404, filed on Feb. 7, 1995, now abandoned, and application No. 08/376,062, filed on Jan. 20, 1995, now abandoned, and application No. 08/359,945, filed on Dec. 20, 1994, now abandoned, and application No. 08/338,730, filed on Nov. 14, 1994, now abandoned, and application No. 08/311,099, filed on Sep. 23, 1994, now abandoned, and application No. 08/270,412, filed on Jul. 5, 1994, now abandoned, and application No. 08/149,508, filed on Nov. 9, 1993, now abandoned, which is a continuation-in-part of application No. 07/726,812, filed on Jul. 8, 1991, now abandoned, said application No. 08/961,404, is a continuation of application No. 07/961,813, filed on Oct. 16, 1992, now abandoned, which is a continuation-in-part of application No. 07/726,812, said application No. 08/376,062, is a continuation of application No. 08/010,829, filed on Jan. 29, 1993, now abandoned, which is a continuation-in-part of application No. 07/726,812, said application No. 08/359,945, is a continuation of application No. 08/221,655, filed on Apr. 1, 1994, now abandoned, which is a continuation of application No. 07/967,622, filed on Oct. 28, 1992, now abandoned, which is a continuation-in-part of application No. 07/726,812, said application No. 08/338,730, is a continuation-in-part of application No. 07/726,812, said application No. 08/311,099, is a continuation-in-part of application No. 07/726,812, said application No. 08/270,412, is a continuation-in-part of application No. 07/726,812.

(51) Int. Cl.<sup>7</sup> ..... **G01N 33/554; C12N 5/00**

(52) U.S. Cl. .... **435/7.21; 435/368; 435/377; 435/375**

(58) Field of Search ..... **435/7.21, 368, 435/378, 377, 375**

(56) **References Cited**

#### U.S. PATENT DOCUMENTS

4,753,635	6/1988	Sagen et al.	604/49
4,980,174	12/1990	Sagen et al.	424/563
5,082,670	1/1992	Gage	424/520
5,175,103	12/1992	Lee et al.	435/172.3
5,411,883	5/1995	Boss et al.	435/240.2
5,589,376 *	12/1996	Anderson et al.	435/240.2
5,612,211	3/1997	Wilson et al.	435/378

#### FOREIGN PATENT DOCUMENTS

0 233 838 8/1987 (EP).

89/03872	5/1989	(WO).
90/06757	6/1990	(WO).
91/02003	2/1991	(WO).
91/09936	7/1991	(WO).
91/17242	11/1991	(WO).
93/01275	1/1993	(WO).
93/09802	5/1993	(WO).
94/03199	2/1994	(WO).

#### OTHER PUBLICATIONS

Cattaneo et al., "Proliferation and differentiation of neuronal stem cells regulated by nerve growth factor," *Nature*, 347:762-765 (1990).

Lin et al., "GDNF: A Glial Cell Line-Derived Neurotrophic Factor Midbrain Dopaminergic Neurons," *Science*, 260:1130 (1993).

Rosenberg et al., "Grafting genetically modified cells to the damaged brain: restorative effects of NGF expression," *Science*, 242:1575-1578 (1988).

Nurcombe et al., "Developmental Regulation of Neural Response to FGF-1 and FGF-2 By Heparan Sulfate Proteoglycan," *Science*, 260:103-106 (1993).

Brickman et al., "Heparan Sulfates Mediate the Binding of Basic Fibroblast Growth Factor to a Specific Receptor on Neural Precursor Cells," *Journal of Biological Chemistry*, 270(42):24941-24948 (1995).

Blakemore et al., "Extensive Oligodendrocyte Remyelination Following Injection of Cultured Central Nervous System Cells into Demyelinating Lesions in Adult Central Nervous System," *Developmental Neuroscience*, 10:1-11 (1988).

(List continued on next page.)

Primary Examiner—Gary L. Kunz

Assistant Examiner—Robert C. Hayes

(74) Attorney, Agent, or Firm—Mintz, Levin, Cohn, Ferris, Glovsky and Popeo, P.C.; Ivor R. Elrifi, Esq.

#### (57) ABSTRACT

A culture method for determining the effect of a biological agent on multipotent neural stem cell progeny is provided. In the presence of growth factors, multipotent neural stem cells are induced to proliferate in culture. The multipotent neural stem cells may be obtained from normal neural tissue or from a donor afflicted with a disease such as Alzheimer's Disease, Parkinson's Disease or Down's Syndrome. At various stages in the differentiation process of the multipotent neural stem cell progeny, the effects of a biological agent, such as a virus, protein, peptide, amino acid, lipid, carbohydrate, nucleic acid or a drug or pro-drug on cell activity are determined. Additionally, a method of screening the effects of biological agents on a clonal population of neural cells is provided. The technology provides an efficient method for the generation of large numbers of pre- and post-natal neural cells under controlled, defined conditions. The disclosed cultures provide an optimal source of normal and diseased neural cells at various developmental stages, which can be screened for potential side effects in addition to testing the action and efficacy of different biological agents.

**12 Claims, 3 Drawing Sheets**